

1 Fund 15/4
1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

J.J. GARCIA-LUNA-ACEVES, ET AL.

Application No.: 09/845,088

Filed: April 26, 2001

For: **SYSTEM AND METHOD FOR
CONTROLLING ACCESS TO CONTENT
CARRIED IN A CACHING
ARCHITECTURE**

Art Group: 2154

Examiner: Siddiqi, Mohammad A.

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a Notice of Allowance. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

07/12/2005 FRETAKI1 00000001 09845088

01 FC:1806

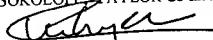
180.00 0P

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

The fee set in the amount of \$180.00 for submission of the Information Disclosure Statement is enclosed herewith. Please charge any additional fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP



Date: July 08, 2005

Chze Koon Chua, Reg. No. 53,831

12400 Wilshire Boulevard, 7th Floor
Los Angeles, CA 90025
Telephone: (408) 947-8200

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



07-08-05
Robert T. Tufano
Vincita T. Tufano
Date

O I P E
JUL 11 2005
PATENT & TRADEMARK OFFICE
445

TRANSMITTAL FORM

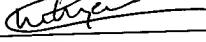
(to be used for all correspondence after initial filing)

		Application No.	09/845,088
		Filing Date	April 26, 2001
		First Named Inventor	J.J. Garcia-Luna-Aceves
		Art Unit	2154
		Examiner Name	Siddiqi, Mohammad A.
Total Number of Pages in This Submission	13	Attorney Docket Number	5543P003

ENCLOSURES (check all that apply)

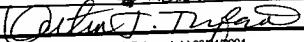
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input checked="" type="checkbox"/> PTO/SB/08 <input type="checkbox"/> Certified Copy of Priority Documents(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Basic Filing Fee <input type="checkbox"/> Declaration/POA <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; height: 40px;"> - 4 cited References; - Return postcard. </div>
<div style="border: 1px solid black; padding: 5px; width: 100%;"> Remarks </div>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Chze Koon Chua, Reg. No. 53,831 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	July 8, 2005

CERTIFICATE OF MAILING/TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Typed or printed name	Valerie J. Stepling, Virginia T. Tufo	Date	July 8, 2005
Signature			

Based on PTO/SB/21 (04-04) as modified by Blakely, Sokoloff, Taylor & Zafman (W) 06/04/2004
SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



FEET TRANSMITTAL for FY 2005

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$ 180.00)

Complete if Known

Application Number	09/845,088
Filing Date	April 26, 2001
First Named Inventor	J.J. Garcia-Luna-Aceves
Examiner Name	Siddiqi, Mohammad A.
Art Unit	2154
Attorney Docket No.	5543P003

METHOD OF PAYMENT (check all that apply)

Check Credit card Money Order None Other (please identify): _____

Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee
 Charge any additional fee(s) or underpayment of fee(s) Credit any overpayments
 under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.

FEE CALCULATION

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
2053	130	2053	130	Non-English specification	
1251	120	2251	60	Extension for reply within first month	
1252	450	2252	225	Extension for reply within second month	
1253	1,020	2253	510	Extension for reply within third month	
1254	1,590	2254	795	Extension for reply within fourth month	
1255	2,160	2255	1,080	Extension for reply within fifth month	
1401	500	2401	250	Notice of Appeal	
1402	500	2402	250	Filing a brief in support of an appeal	
1403	1,000	2403	500	Request for oral hearing	
1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1460	130	2460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	180.00
1809	790	1809	395	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	790	2810	395	For each additional invention to be examined (37 CFR § 1.129(b))	

Other fee (specify) _____

SUBTOTAL (2) (\$ 180.00)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Chze Koon Chua 	Registration No. (Attorney/Agent)	53,831	Telephone	(408) 947-8200
Signature				Date	07/08/05

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO
**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

Sheet 1 of 2

Complete if Known

Application Number	09/845,088
Filing Date	April 26, 2001
First Named Inventor	Garcia-Luna-Aceves, J.J.
Group Art Unit	2154
Examiner Name	Siddiqi, Mohammad

Attorney Docket No: 5543P003

US PATENT DOCUMENTS

US PATENT DOCUMENTS					
Examiner Initial *	Cite No. *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-5,774,660	06/30/1998	Brendel, Juergen , et al.	
		US-5,822,820	10/13/1998	Honkawa, Koichi , et al.	
		US-6,092,178	07/18/2000	Jindal, Anita , et al.	
		US-6,138,162	10/24/2000	Pistriotto, Joseph C., et al.	
		US-6,154,777	11/28/2000	Ebrahim, Zahir	
		US-6,185,619	02/06/2001	Jofe, Rodney L., et al.	
		US-6,205,477	03/20/2001	Johnson, Richard A., et al.	
		US-6,236,652	05/22/2001	Preston, Dan A., et al.	
		US-6,304,913	10/16/2001	Rune, Johan	
		US-6,314,088	11/06/2001	Yamano, Shigeki	
		US-6,314,465	11/06/2001	Paul, Sanjoy	
		US-6,317,778	11/13/2001	Dias, Daniel M., et al.	
		US-6,397,246	05/28/2002	Wolfe, Daniel C.	
		US-6,405,252	06/11/2002	Gupta, Ajit K., et al.	
		US-6,438,652	08/20/2002	Jordan, Kevin M., et al.	
		US-6,449,647	09/10/2002	Colby, Steven , et al.	
		US-6,553,376	04/22/2003	Lewis, Kevin T., et al.	
		US-6,577,609	06/10/2003	Sharony, Jacob	
		US-6,578,066	06/10/2003	Logan, David B., et al.	
		US-6,611,872	08/26/2003	McCanne, Steven	
		US-6,687,731	02/03/2004	Kavak, Nail	
		US-6,721,291	04/13/2004	Bergenwall, Martin , et al.	
		US-6,735,833	05/11/2004	Welch, William	
		US-6,741,585	05/25/2004	Munoz, Robert J., et al.	
		US-6,765,704	08/31/2004	McCanne, Steve	

FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ²
		Country Code – Number – Kind Code (if known)				
		EP-0817444	01/07/1998	Ebrahim, Zahir		
		EP-0817444 A3	12/27/2000	Ebrahim, Zahir		
		EP-0959601	11/24/1999	Chauhan, Abhishek		

OTHER DOCUMENTS – NON PATENT LITERATURE DOCUMENTS

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

EXAMINER

DATE CONSIDERED

Form 1 - Statement Form (ETQ-1418)

Substance Disclosure Statement Form (PTO-1442) is being filed in accordance with 37 CFR 1.97. No claim for expedited treatment under 37 CFR 1.121(e) is being filed. A copy of this form is being filed in English. A copy of this form in Chinese is attached.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)



Sheet 2 of

Complete if Known

Application Number	09/845,088
Filing Date	April 26, 2001
First Named Inventor	Garcia-Luna-Aceves, J.J.
Group Art Unit	2154
Examiner Name	Siddiqi, Mohammad

Attorney Docket No: 5543P003

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cits No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		"Cisco Distributed Director", http://www.tlc.iet.unipi.it/VoiceIP/cisco/114.pdf , (October 1998),	

Filed: July 8, 2005**EXAMINER****DATE CONSIDERED**